

Fidelity Clearing Canada ULC (FCC) Privacy notice

This communication is supplemental to the [Fidelity Canada privacy centre](#), and specific to FCC as it applies to all accounts carried by FCC and is to be read in conjunction with [The FCC Privacy Policy](#).

The following guideline outlines six principles in the handling of your personal information.

- **The collection of personal information:** FCC aims to provide you with a clear understanding of the personal information (“PI”) we receive from broker/dealer clients, why and how that information is used and how we protect your PI.
- **Legal authority to collect and use PI:** The Personal Information Protection and Electronic Documents Act “PIPEDA” applies to private-sector organizations such as FCC, proposing to use or disclose personal information in the course of conducting business.
- **Sharing personal information collected:** FCC may share information with third-party service providers, in confidence, who provide services such as the preparation and mailing of customer account statements. We may also share your information with other Fidelity companies, other financial institutions and government organizations and, if you are an investor, your advisor. Where information is shared outside Fidelity, it is on a strictly limited basis to complete transactions on your behalf, to provide you with services or to meet legal obligations, such as tax reporting.
- **Reviews conducted on PI provided:** FCC may request access to your personal information to review its accuracy and completeness. We will respond to your request within 30 days of receiving it. Consequently, if the required PI is not received in a timely manner the customer may be subject to service interruption.
- **Reviews of PI provided:** You have a right to access your personal information to review its accuracy and completeness. We will respond to your request within 30 days of receiving it.

- **How relevant is the PI obtained:** FCC receives PI from broker/ dealer clients only as necessary to provide you with products and services, promote our products and services, meet regulatory and tax requirements, provide electronic delivery of regulatory and operational documents, and for identity authentication and access management purposes.
- **Complaints:** If a misconduct is suspected you have the right to file a complaint with the Office of the Privacy Commissioner and FCC. Moreover, FCC has established a regime to govern concerns surrounding privacy events. It has an appointed Person in Charge of Personal Information “PCPI” with delegates to address privacy-related complaints. It has designated roles and responsibilities to execute the appropriate investigation and action.